IPW



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

VOORHEES et al.

Serial No.: 10/666,902

Filed: September 17, 2003

Confirmation No.: 8514

Atty. File No.: 43758-00253

For: "METHOD AND APPARATUS FOR

PROVIDING NON-INVASIVE ULTRASOUND HEATING OF THE

PREOPTIC ANTERIOR HYPOTHALAMUS"

Group Art Unit: 3739

Examiner: Not Yet Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED
WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN
AN ENVELOPE ADDRESSED TO COMMISSIONER FOR PATENTS, P.O. BOX
1450, ALEXANDRIA, VA 22313-1450 ON December 15, 2004.

MARSH FISCHMANN & BREYFOGLE LLP

BY:
Bobbye D. Simon

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicant hereby submits the enclosed PTO Form 1449, although Applicant does not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicant that each such document is prior art as to the above-identified application. Pursuant to the Official Gazette Notice of August 5, 2003, copies of any U.S. Patents or published U.S. Patent Applications are not being submitted herewith. Copies of any foreign patent and non-patent documents are submitted herewith.

While Applicant believes no fees are due in connection herewith, please charge any fees necessary under 37 C.F.R. 1.17(i) to Deposit Account No. 50-1419.

Respectfully submitted,

MARSH FISCHMANN & BREYFOGLE LLP

Russell T. Manning, Esq.

Registration No. 51,260

3151 South Vaughn Way, Suite 411

Aurora, Colorado 80014

(720)562-5502

Date: December 15, 2004

OIPE					ATTY DOCKET NO. 43758-00253		SERIAL NO. 10/666,902		
/ .	.INF(ÓRMATION DISCLOSUR လုံပုံse several sheets if nece	E CITATION essary)						·
INFORMATION DISCLOSURE CITATION OU Se several sheets if necessary)					FILING September		GROUP 3739		
ENT & TO	المراجع الأوا		U.:	S. PATEN	DOCUMENTS				
*EXAMINER		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	S FILING DATE IF APPROPRIATE	
	a.	4,865,047	08/12/1989	Chou,	et al.	128	784		10 10
	b.	4,662,383	05/05/1987	Sogawa	ı, et al.	128	784		
	c.	6,090,132	07/18/2000	Fox		607	96		
			FORE	EIGN PATE	ENT DOCUMENTS				
		DOCUMENT NUMBER DATE			COUNTRY CLASS		SUBCLASS TRANSLATION YES NO		LATION NO
	d.	WO 99/07314	08/10/1998	Ingle, e	t al.				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)									
EXAMINER DATE CONSIDERED									
*EXAMINE	R: Initi	al if reference considered, whet	ther or not citation is communication to ap	s in conform	nance with MPEP 609;	Draw line through c	itation if not in o	conformance	and not

Form PTO-A820 (also form PTO-1449)

TRA	NSMITTA O 1	AL OF INFORMA P (Under 37 CFR	Docket No. 43758-00253					
In Re Application Of: VOORHEES, et al.								
Application Date Examiner Customer No. Group Art Unit Confirmation								
10/6	666,902	September 17, 2003	Not Yet Assigned	25231	3739	8514		
Title: METHOD AND APPARATUS FOR PROVIDING NON-INVASIVE ULTRASOUND HEATING OF THE PREOPTIC ANTERIOR HYPOTHALAMUS								
	Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450							
			37 CFR 1.97(b)					
1. 🛚	The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.							
			37 CFR 1.97(c)					
2.	The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:							
	☐ the	statement specified in	n 37 CFR 1.97(e);					
	OR							
☐ the fee set forth in 37 CFR 1.17(p).								

					v i	<u> </u>			
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 43758-00253				
In Re Applicatio	n: VGORHEES, et	al.							
Application No.	r	Customer No.	Group Art Unit	Confirmation No.					
10/666,902 September 17, 2003 Not Yet Ass			gned	25231	3739	8514			
Title: METHOD AND APPARATUS FOR PROVIDING NON-INVASIVE ULTRASOUND HEATING OF THE PREOPTIC ANTERIOR HYPOTHALAMUS									
	(Only com	Paymonplete if Applicant elec	ent of Fee ts to pay the f	ee set forth in 37	CFR 1.17(p))				
 □ A check in the amount of is attached. □ The Director is hereby authorized to charge and credit Deposit Account No. as described below. □ Charge the amount of □ Credit any overpayment. □ Charge any additional fee required. □ Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. 									
Certificate of Transmission by Facsimile* Certificate of Mailing by First Class Mail									
account is beir	I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. (Date)			I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on December 15, 2004					
Signature Signature of Person Mailing Correspondence Bobbye D. Simon						ondence			
Typed or I	Printed Name of Person Sign	ning Certificate	Тур		of Person Mailing C	ertificate			
*This certific deposit acco	sate may only be used int. Signature G. Esq.	if paying by	Dated:	December 15, 2	004				
Registration No. 51,260									
MARSH FISCHMANN & BREYFOGLE LLP 3151 South Vaughn Way, Suite 411									
Aurora, Colorado 8	•								
(720)562-5502									
cc:									